

# CHINESE SECURITIES LAW

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## **Syllabus**

There is no casebook required for this course. Attendants are expected to read several pieces of scholarly works and legislation materials in due course. These readings can make attendants familiar with the subsequent contexts and terminologies. In class, the contexts of readings will be covered. The final grade consists of two parts. The final is in-class exam accounting for 70% of your grades. Attendants are expected to actively participate in in-class discussion and introducing home countries' institutions to other members of the class. The in-class participation takes 30% of the final grades.

## **TENTATIVE COVERED TOPICS**

- 1) Chinese Securities Market and Supervision System
  - a) Market theory and its application in China
  - b) Chinese market supervision system
- 2) Introduction to Chinese securities law and legislation modes
- 3) Initial Public Offering
- 4) Securities Intermediaries and Disclosure
- 5) Mergers and Acquisitions
- 6) Institutional Investors and Securities Law
- 7) Securities Violations
  - a) Misrepresentation, Market Manipulation and Insider Trading
  - b) Securities liabilities and Litigation
- 8) Securities Litigation
- 9) TBA (Corporate Governance and Securities Law)